

RETRIEVING FAMILY DYNAMICS THROUGH PALAEOGENETIC AND ISOTOPIC ANALYSIS

A JOINT PROPOSAL FOR THE STUDY OF THE LAST HUNTER-GATHERERS AND EARLY FARMERS' DAILY LIVES

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RESUMEN La Prehistoria reciente viene marcada desde sus inicios por cambios medioambientales, pero también en el modo de vida de los seres humanos, lo que se refleja en las nuevas formas económicas y culturales. Por ello, el estudio bioarqueológico de los restos humanos resulta de gran importancia, jugando un importante papel la paleogenética de poblaciones y autosómica, así como la bioquímica isotópica, que pueden resolver, en gran medida, incógnitas en el seno del discurso prehistórico. El presente artículo tratará de reflejar esta realidad metodológica a través de casos neolíticos europeos, donde las analíticas mencionadas han dado resultados satisfactorios, y proponer su aplicación en yacimientos del noroeste de la Península Ibérica. Un enfoque integrado de estos métodos revelará aspectos de gran interés, como puedan ser patrones diferenciales entre poblaciones mesolíticas y neolíticas. Realzamos la importancia del estudio de los yacimientos mesolíticos para poder llevar a cabo una comparativa estadísticamente válida respecto a los datos obtenidos de entornos neolíticos y aportar nueva información sobre el tránsito a la economía de producción, enunciando las limitaciones propias del registro arqueológico para poblaciones cazadoras-recolectoras finales.

PALABRAS CLAVE Transición Mesolítico-Neolítico, ADN antiguo, análisis isotópicos, paleodietas, patrones de movilidad

ABSTRACT Recent Prehistory is characterized not only by a combination of changes in palaeoenvironmental terms, but also in the daily life of humans, reflected in new economic and cultural habits. Therefore, bioarchaeological studies of human remains play an important role in archaeology. Within this field, population palaeogenetics, autosomal DNA and isotopic biochemistry analyses can answer several questions in the prehistoric speech. Our present article attempts to reflect this reality through methodological cases from Neolithic European sites, where these analytics have been performed with satisfactory results. We also propose their application in various sites in the Iberian Peninsula. An integrated approach of these methods reveals some issues of interest (demographics and daily life), as differential patterns among Mesolithic and Neolithic populations in a diachronic reconstruction. We claim the study of the Mesolithic sites in order to conduct a statistically valid comparative regarding the larger number of Neolithic evidences, with the aim to provide new data about the transition economies to food production, despite the limitations of the archaeological record of the last hunter-gatherer communities.

KEYWORDS Mesolithic-Neolithic transition, ancient DNA, isotopes analysis, paleodietary, mobility patterns

INTRODUCTION: FUNERARY CONTEXTS IN TRANSITION (13,000-5,000 CAL BP)

During the Mesolithic-Neolithic transition in the north of the Iberian Peninsula, we face climatic and human cultural changes, within the end of Pleistocene and the rising of the Holocene (Aura *et al.*, 1998). The climatology became warmer, and new plant and animal species supplanted the previously ones, adapted to cold weather

(Scott *et al.*, 2007). Hunter-gatherer human populations probably became more sedentary and technical improvements related to food production, new social practices and demographic events expanded across Iberia (Alday *et al.*, 2012; Fernández-Eraso *et al.*, 2015). Funerary contexts allow us to retrieve ancient family dynamics. However, not all are clear enough to draw good conclusions. Focusing on northern Iberia, burial variability grows in Neolithic contexts, mainly due to the

beginning of the megalithic phenomenon (Arias, 2007). In contrast, Mesolithic human remains are generally scarce and isolated (Iriarte-Chiapusso *et al.*, 2005). Certain funerary contexts are massive, with chaotic disposals of the buried corps, which do not allow conclusions in our regard; a good example may be the Late Neolithic collective burial of San Juan Ante Portam Latinam (Laguardia, Basque Country) (Vegas and Armendariz, 1999). However, such sites enable other detailed bioarchaeological research, including studies on physical anthropology, related to violence in Prehistory, or population genetic studies (Izagirre and De-la-Rúa, 1999).

MATERIALS AND METHODOLOGY

INTRODUCING THE METHODOLOGY OF PALAEOGENETICS

The current methodologies of ancient DNA (aDNA) have been applied to prehistoric contexts with an increasing rate of success (Hofreiter *et al.*, 2001; Deguilloux *et al.*, 2012), with two main research lines; on one hand, the archaeological remains of domesticated species could retrieve the genealogy of plants and animals lineages (Glémin and Bataillon, 2009; Larson *et al.*, 2014). On the other hand, the study of human aDNA can let us know about aspects like human lactase persistence, skin/hair pigmentation, incidence of diseases, etc., in the case of the autosomal or non-sexual DNA (Olalde *et al.*, 2014). By contrast, uniparental or sexual aDNA, from the mitochondria within human cells for female lineages and Y-chromosome aDNA for male lineages, can inform us about several issues related to population movements throughout the biological history of women and men (Soares *et al.*, 2010).

Among conventional methodologies of aDNA replication, Polymerase Chain Reaction (PCR) and Restriction Fragment Polymorphism (RFPLS) discriminates Single-Nucleotide Polymorphism or SNPs in both male and female aDNA (De-la-Rúa and Hervella, 2013). These cumulative polymorphisms define several DNA haplotypes, who grouped are called mitochondrial and Y-chromosome human haplogroups. They show affinities or differences among demographic groups from a human DNA reference sequence (CRS) and several developed human molecular clocks (Soares *et al.*, 2010). Recent methodological improvements known as New Generation Sequencing (NGS) are performed to sequence the whole genome from the sample and discriminate aDNA and potential contaminations (Pinhasi *et al.*, 2012).

From mitochondrial data, easier to obtain through this methodology, we can concrete several human cladistic groups (Haak *et al.*, 2010; Soares *et al.*, 2010; Brandt *et al.*, 2013; Hernández, 2015). In the case of neolithisation process, we can simplify into four relevant groups of population:

- Cladistic Group 1 (CG1): haplogroups of hunter-gatherer populations. The most important haplogroup of European hunter-gatherers are the variations of haplogroup U*.

- Cladistic Group 2 (CG2): haplogroups of early farmer populations. They changed the european genetic pool, with the introduction of various haplogroups mostly derived from Near East (N*, T*, K*, J*, HV*, W*, X*).

- Other cladistic groups. We can include there the postneolithic populations (CG3, probably from other migration events, like I*) or several unaffiliated haplogroups (CG4), like the halogroup H, the most numerous today, or V*.

INTRODUCING THE METHODOLOGY OF ISOTOPIC ANALYSES

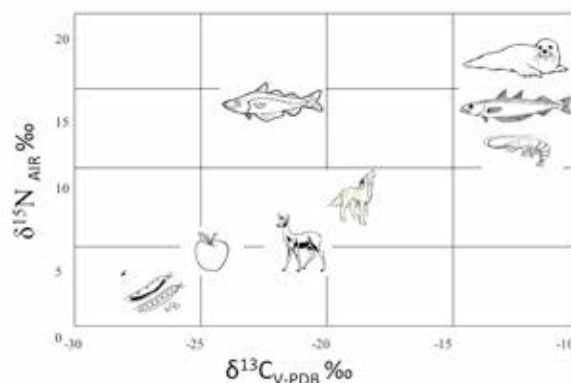
Since the decade of 1980, we have new lines of investigation to obtain information about the paleodietary and mobility patterns. In this text, we will focus on isotopes of carbon and nitrogen for the study of paleodietary patterns and on sulphur and strontium isotopes for the mobility patterns. Thanks to these studies, we have the chance to know details about the analysed groups: if they were local or not, the access to the food and, linked with it, the presence of hierarchies, the possible *women market*, etc.

CARBON AND NITROGEN

The study of carbon isotopes ($\delta^{13}C$, which shows the difference between C12 and C13) allows us to know the relative weight of the protein dependent on their origin: terrestrial, fluvial or marine, and of plants C3 and C4 (Salazar-García, 2009).

In the case of nitrogen ($\delta^{15}N$), values are related to the complexity of the trophic chain of the organism consumed by the studied individual. For example, organisms of marine ecosystems are much complex than the terrestrial ones, and that is the reason why they have higher values (Sarasketa-Gartzia, 2015).

We can obtain a lot of information about the dietary habits relating both nitrogen and carbon values (figure 1). However, the vegetal proteins are usually masked by the higher animal protein values (Richards *et al.*, 2003). If the analysed individual is a child, the nitrogen values could be higher, owing to the intake of the mother's



1. Graphic with the different food patterns dependent on the nitrogen ($\delta^{15}N$) and carbon ($\delta^{13}C$) values. The right side of the graphic would correspond to C4 sources and the left side to C3, with variable data of nitrogen.

nitrogen values during the lactation. Additionally, it is important to remark, that the results of stable isotopes refer only to consumption of the last 10-15 years of the individual (Meiklejohn, 2009).

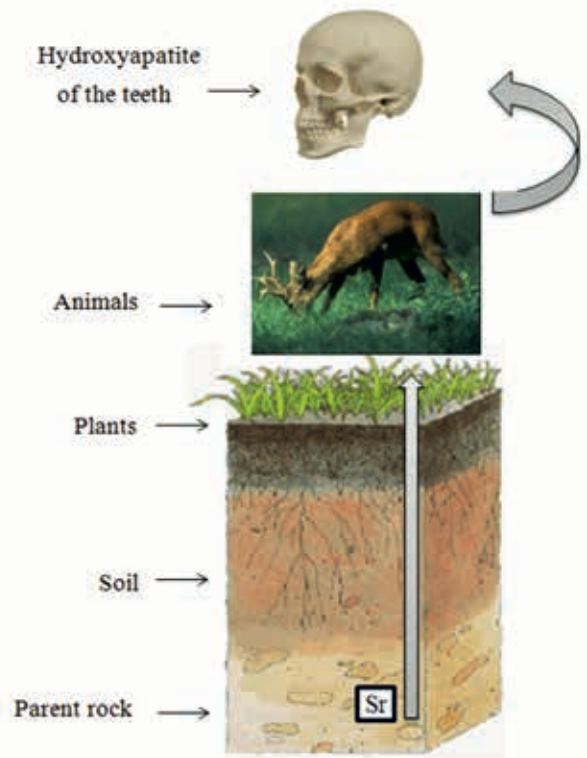
The study of carbon, nitrogen and sulphur isotopes requires the same process (Salazar-García *et al.*, 2014). The bone samples of 300-500 mg have to be cleaned, before obtaining the collagen following Richards and Hedges (1999) with an additional ultrafiltration step (Brown *et al.*, 1988). After that, the solution is frozen and lyophilized before being weighed into tin capsules and finally loaded into the mass spectrometer (Salazar-García *et al.*, 2014).

SULPHUR AND STRONTIUM

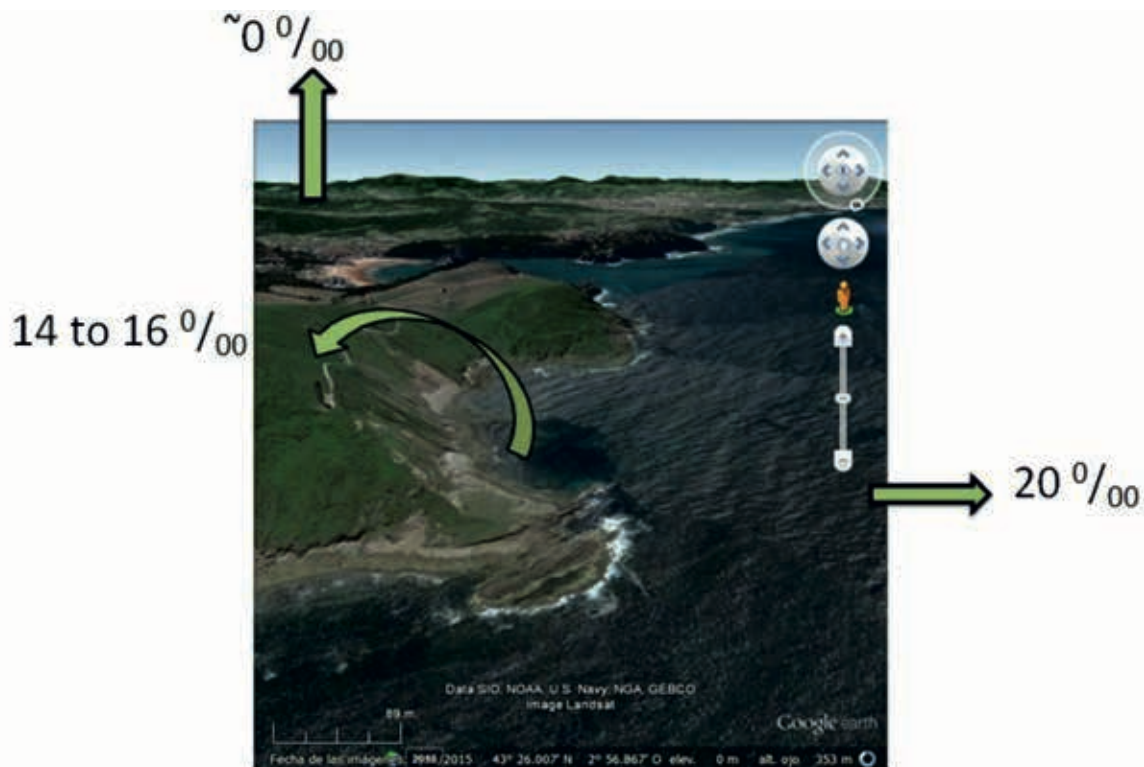
The values of sulphur increase according to the distance between the organism analysed and the marine coast, because of the sea-spray effect (figure 2) (Nehlich *et al.*, 2010). Moreover, it is very important to analyse archaeological fauna remains too, especially if we have to interpret results in complex ecosystems as estuaries (Richards *et al.*, 2001).

The strontium ($^{87}\text{Sr}/^{86}\text{Sr}$) analyses are based on the isotopic proportions of different geological zones: each geological zone has a concrete value, because radioactive strontium isotope (Sr^{87}) derives from the decomposition of the rubidium (Rb^{87}) and, because of that, it depends on the antiquity of rocks. The most ancient rocks have the highest values, between 0.700 and 0.750 (Bentley, 2006). The strontium values present on the rocks pass through the trophic change by the lithosphere, and then to the

plants, the animals and, finally, to the human body tissues (figure 3). In the archaeological study, we use the values of the teeth enamel, in order to relate it with the period of its formation.



3. Graphic with the movement of $\delta^{87}\text{Sr}$ through the parent rock to the humans enamel.



2. $\delta^{34}\text{S}$ values in different geographical zones.

SAMPLE: ARCHAEOLOGICAL SITES IN WESTERN PYRENEES AND CANTABRIAN COAST

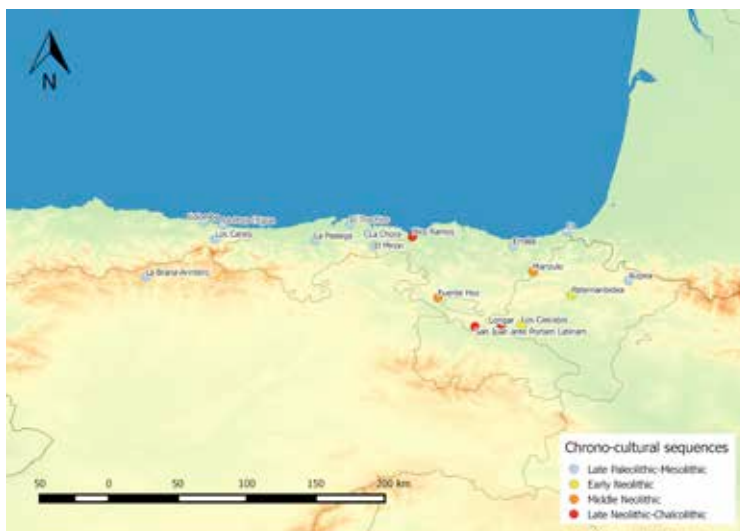
Stable isotopes and palaeogenetics research in the selected area and chronology are performed in the following archaeological sites, shown in the map (figure 4). Research actions in both methodological areas are not correlated:

- Stable isotopes studies are more frequent in Mesolithic (n=10) and Neolithic individuals (n=4) (Arias, 2005). Its dispersal is more centralized in the Cantabrian Coast.
- Palaeogenetic studies (Izagirre and De-la-Rúa, 1999; Hervella *et al.*, 2012; De-la-Rúa *et al.*, 2015) are more frequent in Neolithic sites, with numerous individuals analysed (n=153). Its dispersal is more centralized in Western Pyrenees and the Upper Ebro Valley. There are several analysed individuals from Late Palaeolithic and Mesolithic chronologies (n=7), across the Cantabrian fringe.
- Only two archaeological sites (La Braña-Arintero and Marizulo) have researches in both methodologies (Arias, 2005; Arias and Schulting, 2010; Hervella *et al.*,

2012; Sánchez-Quinto *et al.*, 2012; Olalde *et al.*, 2014). However, the low number of analysed individuals (n=3), unable us to draw relevant conclusions.

In order to emphasize the contribution of a joint palaeogenetic-isotopic methodology, several examples from other European areas have been selected (figure 5). They share Mesolithic-Neolithic chronologies, and they have large samples that enable researchers to identify several social and familiar patterns:

- Blatterhöhle (Hagen, Nordrhein-Westfalen, Germany) is a cave and archaeological site with Mesolithic (11,200-10,500 cal BP) and Late Neolithic (5,950-4,850 cal BP) occupations (Bollongino *et al.*, 2013). Burials of both populations are in the same location. This site brings a prolonged occupation, emphasizing the transition and interactions between hunter-gatherers and Neolithic groups.
- Vedrovice (Hungary) is a LBK burial context (Bramanti, 2008; Zvelebil and Pettitt, 2008). Its human occupation is dated in Early Neolithic (7,300-6,900 cal BP). This site provides very valuable information about the early spread of agriculture in Central Europe. Isotopes and



4. Map of Western Pyrenees and Cantabrian coast of Iberia, showing archaeological sites with stable isotopes and palaeogenetic researches performed.



5. Location of selected methodological cases (Blatterhöhle, Vedrovice, Eulau).

palaeogenetic data from Vedrovice can test hypothesis about the neolithisation process through the study of Neolithic migratory events from Near East and the first contacts between this intrusive populations and the Late Mesolithic regional societies (Divišová, 2012). - Eulau (Saxony-Anhalt, Germany) is an archaeological site dated in Late Neolithic and Chalcolithic times (4,850-3950 cal BP), concerning Corded Ware and Bell Beaker cultures. Despite its late chronology, its importance lies in direct child-parent patterns detected among individuals buried together, with the oldest molecular evidence of a nuclear family ever. Different isotopes and palaeogenetic results show an exogamous and patrilocal society (Haak *et al.*, 2008; Brandt *et al.*, 2013).

RESULTS AND DISCUSSION

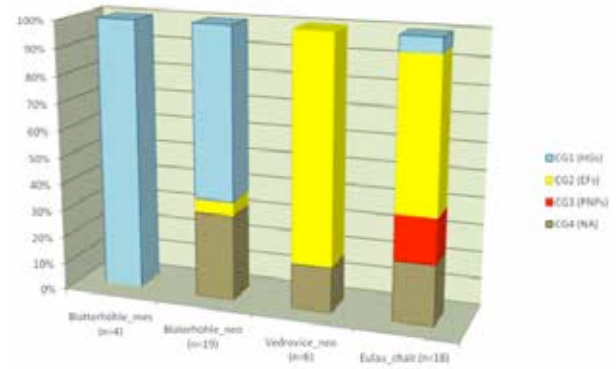
METHODOLOGICAL CASES

In the case of Blatterhöhle (Nordrhein-Westfalen, Germany), the results concerning the ancient DNA are based in matrilineal lineages. Twenty-three individuals provided valid results (Bollongino *et al.*, 2013). Mesolithic results (n=4) offers homogeneity in the gene pool of hunter-gatherer populations (Haak *et al.*, 2015). However, Late Neolithic individuals analysed (n=19) revealed genetic continuity with Mesolithic lineages up to 60% (figure 6). These evidences have suggested a model of “parallel societies” during all Neolithic period, but the lack of information for Early Neolithic populations in the cave would be related to different economic or social practices, perhaps associated to population origins (Haak *et al.*, 2010).

By contrast, Vedrovice (Hungary) results are scarce, with only six individuals showing valid mitochondrial results (Bramanti, 2008) (figure 6). They draw a genetic discontinuity between them and the potential western hunter-gatherer genetic pool, with high values for haplogroups associated to early European farmers (Soares *et al.*, 2010). However, due to the reduced sample, it would be explained by different burial practices among human groups (Deguilloux *et al.*, 2012).

In the case of Eulau (Saxony-Anhalt, Germany), palaeogenetic valid results are performed for nineteen individuals (Corded Ware, n=16; Bell-Beaker, n=3) for maternal lineages and three individuals for paternal lineages (Corded Ware) (Haak *et al.*, 2008; Brandt *et al.*, 2013). Results show a high incidence of populations not associated with first Neolithic events (figure 6). However, both hunter-gatherer and early farmer genetic pools are present in Eulau samples. Also, Y-chromosome results (n=3) draw the possibility of a patrilocal society, as shown in other simultaneous archaeological sites as well as Les Treilles (southern France) or Cova de l'Avellaner (Catalogne, Spain) (Lacan *et al.*, 2011a, 2011b). The particularity of Eulau lies in the demonstrated relationship between individuals from the same grave. This is the case of Eulau grave 99, where female and children shared the same mitochondrial haplogroup (K*). Among males, they shared the same Y-chromosome haplogroup too (R1a).

If we focus on isotopic analysis, there are also differences



6. aDNA results for Blatterhöhle, Vedrovice and Eulau individuals.

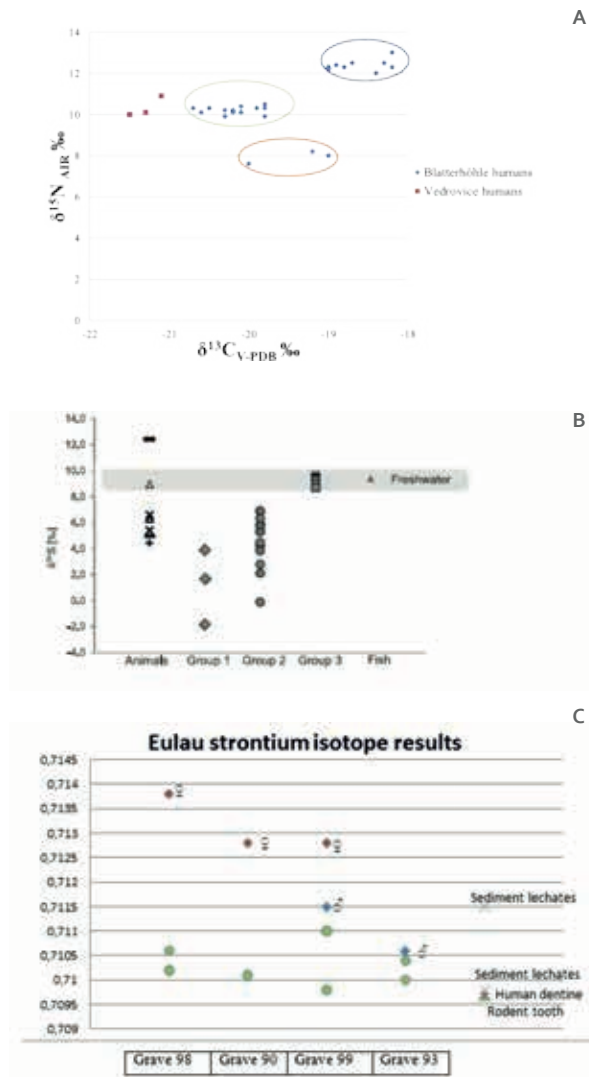
between the three cases. First of all, in Blatterhöhle (n=24) we can differentiate three groups. The first one (n=9), with high values of $\delta^{15}\text{N}$, clearly shows a main consumption of marine resources. Secondly, an important amount of analysed individuals (n=12) have a high consumption of herbivorous. The last group (n=3) has lower nitrogen values, emphasizing the consumption of wild fauna (Bollongino *et al.*, 2013). Sulphur isotopes data shows that the Neolithic hunter-gatherers, with higher values of sulphur, are well distinguished comparing with the other two groups.

Secondly, in Vedrovice site, sulphur results (n=50) show that most of humans spent their childhood and adulthood near or at Vedrovice, with the exception of eight individuals with different strontium and sulphur values. Consumption of vegetables is almost restricted to adult females, while both old males and females had access to meat, which could be some kind of *dietary status* (Divišová, 2012; Zvelebil and Pettitt, 2013). On the other hand, nitrogen results show a relative homogeneous diet, what means that the dietary protein was derived mainly from animal sources, with the exception of one infant (related to breastfeeding) (Zvelebil and Pettitt, 2013).

Finally, in the case of Eulau, we only have strontium isotopes results; values of children (green circles) form a homogeneous group around 0.7103 (figure 7), consequent with the local ratio. In contrast, the females (in red) have higher values of strontium, indicating that they spent their first years of their lives in other region and, afterwards, they moved to the location of males (in blue), which were more local.

WHAT WE HAVE IN WESTERN PYRENEES AND THE CANTABRIAN COAST, IBERIA?

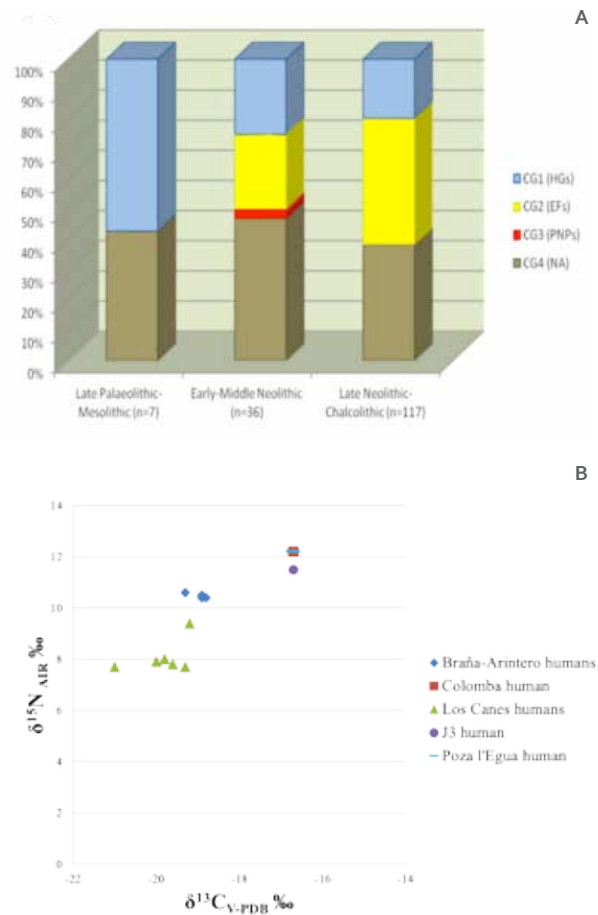
In the selected area, palaeogenetic results are numerous (n=160), spread over thirteen archaeological sites from Upper Palaeolithic to Early Eneolithic (15,000-4,850 cal BP). Unified results show consistent patterns for hunter-gatherer and early farmers maternal lineages (figure 8a). It would reproduce the central European situation (Haak *et al.*, 2005; Haak *et al.*, 2010; Bramanti *et al.*, 2009; Brandt *et al.*, 2013), but the high value for unaffiliated mitochondrial haplogroups is an important regional difference (Hervella *et al.*, 2012).



7. Isotopes results for (A) Blatterhöhle (adapted from Bollongino *et al.*, 2013) and Vedrovice (adapted from Divisovà, 2012) stable isotopes results; (B) Blatterhöhle sulphur results (from Bollongino *et al.*, 2013) and (C) Eulau strontium results (adapted from Haak *et al.* 2008).

Isotope analyses for the Mesolithic and Neolithic periods are, unfortunately, limited (n=14), principally because of the reduced number of human remains in the analysed zone (especially during the Mesolithic), and the scarce researches in this line. However, we can observe an increment in the consumption of marine resources during Mesolithic times, while in the Neolithic this trend changes, becoming terrestrial sources the main feed (figure 8b).

Archaeological sites in Western Pyrenees with combined isotopes and palaeogenetics results are scarce (La Braña-Arintero and Marizulo, figure 4) (Arias, 2005; Arias and Schulting, 2010; Hervella *et al.*, 2012; Sánchez-Quinto *et al.*, 2012; Olalde *et al.*, 2014). La Braña-Arintero (León, Spain), is a Mesolithic burial context with two individuals analysed. Both samples show correlative mitochondrial aDNA results with other Mesolithic remains across Europe (Haak *et al.*, 2015). They belong to the cladistic group of western hunter-gatherers (U5b). The isotope analysis, on the other hand, show a diet based mainly in terrestrial resources, probably with an important ingest of herbivorous



8. aDNA and stable isotopes analyses performed in Western Pyrenees and Cantabrian coast archaeological sites.

animals, and probably with a punctual consumption of aquatic foods, as the nitrogen value is slightly higher than 10‰ (figure 8b) (Arias and Schulting, 2010).

By contrast, Marizulo (Gipuzkoa, Basque Country), an archaeological Late Neolithic site, displays an individual who, despite his clear Neolithic affiliation, shows genetically patterns close to hunter-gatherers (U5) Thus, this individual shows a gene flow between hunter-gatherer and early farmers in Western Pyrenees. We have a carbon value near -20‰ which corresponds to a typical terrestrial dietary pattern (Arias, 2005). However, this carbon value is provided from the fractionation control of the radiocarbon analysis, and because of that we can only use this data as guidance.

CONCLUSIONS AND PERSPECTIVES

Through all these examples, we would like to reflect that the joint methodology of stable isotopes and palaeogenetic studies are capable to shed light to several prehistoric research fields, as well as:

- (1) Familiar and social relationships: bioarchaeological research can be able of provide explanation about the origin of family members, or define a prehistoric society as exogamous, patrilocal, etc.
- (2) Neolithisation process: these methodologies can assess migratory events and human admixtures in the past, and the different history of men and women.

(3) Daily life of prehistoric individuals, across palaeodiet, degree of genetic lactase persistence, etc.

We want to emphasize the need of continuity of human bioarchaeological research, in order to extend the isotope and ancient DNA databases with the study of new burial contexts and a proper collaboration among different areas of study concerning the prehistoric settlement. In this line, there are now some researches, as few doctoral theses in project (I. Sarasketa-Gartzia, A. Higuero, A. Villalba-Mouco, etc.) with the aim to analyse prehistoric human remains with isotopes; and, even more, some recent papers which content both aDNA and isotopes analysis (Alt *et al.*, 2016; Esparza *et al.*, in press).

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